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Express Mail Label No. EL692935034US

11/09/00

PC849 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
EUN-KAIST

Total Pages in this Submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**POLYMER COMPOSITE SQUIRREL CAGE ROTOR WITH HIGH MAGNETIC PERMEABILITY  
FILLER FOR INDUCTION MOTOR AND METHOD OF MAKING IT**JC714 U.S. PTO  
09/710665

11/09/00

and invented by:

Dai Gil Lee, Seung Hwan Chang

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 15 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Microfiche Appendix *(if applicable)*
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings *(if drawings filed)*
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*  
a. ☐ Formal      b. ☒ Informal      Number of Sheets 8
4. ☒ Oath or Declaration  
a. ☐ Newly executed *(original or copy)*      ☒ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*  
c. ☒ With Power of Attorney      ☐ Without Power of Attorney  
d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission *(if applicable, all must be included)*  
a. ☐ Paper Copy  
b. ☐ Computer Readable Copy  
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers *(cover sheet & documents)*
9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing  
☐ First Class      ☒ Express Mail *(Specify Label No.):* EL692935034US

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(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
**EUN-KAIST**

Total Pages in this Submission

**Accompanying Application Parts (Continued)**

15. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1
17. ☐ Additional Enclosures *(please identify below)*:

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
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Total Pages in this Submission

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	6	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	2	- 3 =	0	x \$40.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$355.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$355.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **10-0223** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$355.00** as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: November 9, 2000

  
Signature

Thomas R. FitzGerald, Esq.  
Reg. No. 26,730  
JAECKLE FLEISCHMANN & MUGEL, LLP  
39 State Street  
Rochester, New York 14614-1310  
Tel: (716) 262-3640  
Fax: (716) 262-4133

cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Dai Gil Lee, et al.

Docket No.

EUN-KAIST

Serial No.

TBA

Filing Date

Herewith

Examiner

Unknown

Group Art Unit

Unknown

Invention:

**POLYMER COMPOSITE SQUIRREL CAGE ROTOR WITH HIGH MAGNETIC PERMEABILITY FILLER FOR INDUCTION MOTOR AND METHOD OF MAKING IT**Jc714 U.S. PTO  
09/710665  
11/09/00

I hereby certify that the following correspondence:

Utility Patent Application Transmittal Letter (Small Entity) (In Duplicate) (8 pgs)

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

November 9, 2000*(Date)*Penny R. Turrell*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EL692935034US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

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Invention: POLYMER COMPOSITE SQUIRREL CAGE ROTOR WITH HIGH MAGNETIC  
PERMEABILITY FILLER FOR INDUCTION MOTOR AND METHOD OF MAKING IT

1c714 U.S. PRO  
09/710665

11/09/00

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Combined Declaration/Power of Attorney (Unsigned) (3 pgs)

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November 9, 2000

(Date)

Penny R. Turrell

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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Examiner

Unknown

Group Art Unit

Unknown

Invention:

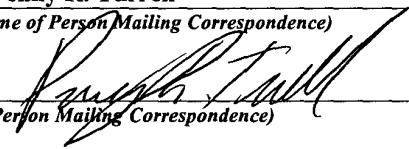
**POLYMER COMPOSITE SQUIRREL CAGE ROTOR WITH HIGH MAGNETIC PERMEABILITY FILLER FOR INDUCTION MOTOR AND METHOD OF MAKING IT**10714 U.S. PRO  
09/710665  
11/09/00

I hereby certify that the following correspondence:

Specification, Claims and Abstract (15 pgs)

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Group Art Unit

Unknown

Invention: POLYMER COMPOSITE SQUIRREL CAGE ROTOR WITH HIGH MAGNETIC PERMEABILITY FILLER FOR INDUCTION MOTOR AND METHOD OF MAKING IT

I hereby certify that the following correspondence:

Small Entity Certification (1 pg)

(Identify type of correspondence)

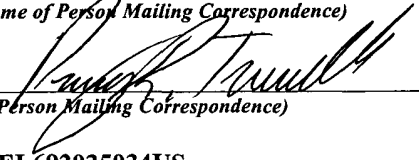
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(Date)

Penny R. Turrell

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)EL692935034US

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Group Art Unit

Unknown

Invention:

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1c714 U.S. PTO

09/710665



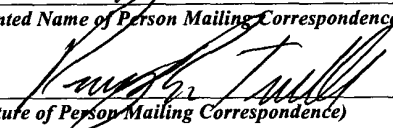
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I hereby certify that the following correspondence:

Eight (8) Sheets of Informal Drawings (Identified)

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37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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**EUN-KAIST**

Serial No.

**TBA**

Filing Date

**Herewith**

Examiner

**Unknown**

Group Art Unit

**Unknown**Invention: **POLYMER COMPOSITE SQUIRREL CAGE ROTOR WITH HIGH MAGNETIC PERMEABILITY FILLER FOR INDUCTION MOTOR AND METHOD OF MAKING IT**

I hereby certify that the following correspondence:

**Two (2) Certified Priority Documents***(Identify type of correspondence)*

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**November 9, 2000***(Date)***Penny R. Turrell***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL692935034US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**Jc714 U.S. PTO  
09/710665

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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

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PATENT AND TRADEMARK OFFICE  
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PTO-1556  
(5/87)